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## 2 Unravelling the Mechanism of TrkA-Induced Cell Death by Macropinocytosis

#### 3 in Medulloblastoma Daoy Cells

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15 Abbreviations: CA (constitutively active), CK1 (Casein kinase 1), DN (dominant negative), FRS2 (fibroblast growth factor receptor substrate 2), GGA3 (Golgi-localized gamma ear-16 Arf-binding protein), Gb (Glioblastoma), Med (medulloblastoma), 17 containing NB 18 (Neuroblastoma), NGF growth factor), PH (pleckstrin (nerve homology), PIP<sub>2</sub> (phosphatidylinositol [4,5]-bisphosphate), PIP<sub>3</sub> (phosphatidylinositol [3,4,5]-triphosphate), 19 PIP<sub>5</sub>K (phosphatidylinositol 4-phosphate 5-kinase), PI3 kinase (phosphatidylinositol 3 [PI3] 20 kinase), PLC (phospholipase C), PKC (protein kinase C). 21

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32 Macropinocytosis is a normal cellular process by which cells internalize extracellular 33 fluids and nutrients from their environment and is one strategy that Ras-transformed pancreatic 34 cancer cells use to increase uptake of amino acids to meet the needs of rapid growth. 35 Paradoxically, in non-Ras transformed medulloblastoma brain tumors, we have shown that 36 expression and activation of the receptor tyrosine kinase TrkA over-activates macropinocytosis 37 resulting in the catastrophic disintegration of the cell membrane resulting in tumor cell death. 38 The molecular basis of this uncontrolled form of macropinocytosis has not been previously 39 understood. Here, we demonstrate that the over-activation of macropinocytosis is caused by the 40 simultaneous activation of two TrkA-mediated pathways: (1) inhibition of RhoB via phosphorylation at Ser<sup>185</sup> by casein kinase 1, which relieves actin stress fibers, and (2) FRS2-41 42 scaffolded Src and H-Ras activation of RhoA which stimulates actin re-organization and the 43 formation of lamellopodia. Since catastrophic macropinocytosis results in brain tumor cell death, 44 improved understanding of the mechanisms involved will facilitate future efforts to re-program 45 tumors, even those resistant apoptosis, to die.

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48 Medulloblastomas (Med) and neuroblastomas (NB) represent two of the most common 49 childhood neoplasias of the central and peripheral nervous systems (1);(2). Med's arise from progenitor cells in the cerebellum (3) while NB's arise from undifferentiated sympathoadrenal 50 51 cells of neural crest origin (2)<sup>(4)</sup>. In general, the age of onset for both Med and NB is an 52 important determinate of final prognosis with complete regression often being reported in 53 children under one year of age. In contrast, tumors that arise in older children often become 54 metastatic and highly resistant to conventional therapies (5). Two markers, the expression of 55 which correlate with positive prognosis in both Med and NB, are the closely related receptor tyrosine kinases TrkA and TrkC (6);(5)<sup>(7)</sup>. In contrast, expression of TrkB correlates with 56 57 enhanced drug resistance, MYCN expression, angiogenesis (5) and is a poor prognostic predictor 58 of NBs and it also facilitates cell survival and proliferation in Med's (8).

59 The relationship between Trk receptor expression and the final prognostic outcome has been 60 linked to the induction of cell death. In many instances, in both primary as well as established 61 Med, NB and glioblastoma (Gb) cell lines, expression of either TrkA or TrkC has been linked to the induction of either apoptosis or autophagy (1)(9);(10);(11);(12). In contrast, we have shown 62 63 that nerve growth factor (NGF) treatment of Med Daoy cells that over-express TrkA (Daoy-64 TrkA) show a dramatic increase in uncontrolled macropinocytosis, causing catastrophic 65 disintegration of cellular membrane integrity, resulting in cell death (13). No evidence of 66 apoptosis or necrosis is observed, and although evidence of autophagy is present, siRNA 67 mediated knockdown of the key autophagy proteins, beclin and atg5, does not prevent cell death 68 (13).

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78 larger than 0.2  $\mu$ m in diameter (18). Under normal physiological conditions, macropinosomes 79 are either recycled back to the cell surface or they fuse with lysosomes to digest internalized 80 nutrients (15)<sup>(16)</sup>. By comparison, the macropinosomes generated in NGF treated Daoy-TrkA 81 cells internally fuse, growing uncontrollably larger and in turn fuse with lysosomes (13). The 82 cells literally drink and eat themselves to death. 83 In addition to our observations in Meds, hyperstimulation of macropinocytosis has also been 84 found to result in cell death in some human Gb cell lines as well as in other cancer cell lines 85 (19) (20);(21);(22). Interestingly, over-expression of oncogenic H-Ras has been shown to drive 86 cell death by macropinocytosis in the Gb cell line, U251 (20)<sup>(13)</sup>, by a mechanism that is 87 dependent upon activation of the GTPase Rac1 and the inactivation of Arf6 (23).

Macropinocytosis is an actin-dependent, clathrin-independent, endocytic process that can be

triggered by external stimuli and serves as a means for cells to take up large amounts of

extracellular materials as nutrients (14) (15) (16). Under normal conditions, macropinocytosis

can also facilitate receptor mediated signaling pathways, the entry of viral and bacterial

pathogens, cell motility (16) and is the mechanism by which macrophages and dendritic cells

internalize antigens and cellular debris (17)<sup>i</sup>(16). More recently, macropinocytosis has also been

shown to facilitate the uptake of amino acids in Ras-transformed pancreatic tumor cells to sustain

their uncontrolled proliferation (14). Macropinosomes are generated by the formation of cell

surface lamellipodia that fold back on themselves resulting in large endosomes, which can be

88 Herein, we characterize the signaling mechanisms that drive TrkA-dependent cell death by 89 macropinocytosis in Daoy cells. We find that, similar to U251 cells, induction of 90 macropinocytosis-dependent cell death requires the activation of H-Ras; however, unlike U251 91 cells, it does not depend on the activation of Rac1 or Cdc42.

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92 Moreover, we find that over-expression of constitutively active (CA) H-Ras, alone, is 93 sufficient to activate macropinocytosis-dependent cell death. While it may seem surprising that 94 CA-H-Ras can stimulate cell death in a brain tumor cell line, it is important to note that 95 activating mutations of either H-Ras, N-Ras or K-Ras have not been found in cancers of the 96 brain (24)<sup>2</sup>(25). In terms of understanding the mechanisms driving this process, we demonstrate 97 the concomitant requirement of several signaling pathways. First, we find that activation of Src 98 is essential, which is known to precede activation of H-Ras (26), and that this is mediated via the 99 adapter protein FRS2, not ShcA, which competitively bind to the juxtamembrane phosphorylated tyrosine residue, pTyr<sup>490/499</sup> on activated TrkA (27). Second, we show that two Rho family 100 101 GTPases, RhoA and RhoB, are the endpoint effectors and they serve essential, but opposite roles 102 in regulating macropinocytosis. Finally, we have identified an essential role for the 103 serine/threonine kinase casein kinase 1 (CK1) in a mechanism that involves the phosphorylation of RhoB at Ser<sup>185</sup>. This single event inactivates RhoB (28), releasing actin stress fibres, and 104 105 enables RhoA to re-organize actin into the lamellopodial extensions required to generate 106 macropinosomes.

## 107 Materials and Methods

Antibodies and Growth Factors. The antibodies to β-actin and Arf6 were from Sigma-Aldrich. Antibodies to Cdc42, FRS2, H-Ras, N-Ras, Rap1, RhoB and Sck (ShcB) were from Santa Cruz Biotechnology. Antibodies to RhoA, phospho-Src (Tyr<sup>416</sup>), phosphor-Src (Tyr<sup>547</sup>) and antiphosphoTrkA (Tyr<sup>490</sup>) antibodies were from Cell Signaling Technology. Antibodies to ShcA, ShcC and Rac1 were from BD Bioscience. The antibody to K-Ras was from Abcam and the antibody to v-src was from Calbiochem. Horseradish peroxidase (HRP) coupled secondary antibodies (rabbit anti-mouse; goat anti-rabbit) were from Jackson ImmunoResearch Labs, Inc.

115	and used at a final concentration of 1:10,000. Antibodies were used at working concentrations as
116	indicated: anti-β-actin (1:10,000), anti-phosphoTrkA (Tyr <sup>490</sup> ) (1:2000), phospho-Src (Tyr <sup>416</sup> )
117	(1:5000), phosphor-Src (Tyr <sup>547</sup> ) (1:2000), anti-ShcA (1:5000), anti-ShcC (1:10,000), anti-ShcE
118	(1:1000), anti-FRS2 (1:2000), anti-v-src (1:1000), anti-GST-HRP (1:5000), anti-Ras (1:1000)
119	anti-Rac (1:1000), anti-Cdc42 (1:5000), anti-Arf6 (1:1000), anti-RhoA (1:1000), anti-RhoE
120	(1:500) and anti-Rap1 (1:1000). Nerve growth factor (NGF) was from Harlan Products for
121	Bioscience.

Vectors and Cloning. CA-Rac1 ( $Q^{61}L$ ) was generated by site directed mutagenesis using Pfu 122 Turbo (Stratagene). Human H-Ras cDNA was obtained by PCR amplification from human 123 placenta cDNA and subcloned into pEGFP-C1. CA-H-Ras (G<sup>12</sup>V)-EGFP was generated using 124 125 human CA-H-Ras as a template by site directed mutagenesis using Pfu Turbo. All other constructs were provided by the following investigators: EGFP-tagged DN (T<sup>19</sup>L) and CA (O<sup>63</sup>L) 126 RhoB (Dr. A. Richmond, Vanderbilt University School of Med, TN, USA); EGFP-tagged DN 127 (T<sup>66</sup>N) and CA (Q<sup>111</sup>L) Rab34 (Dr. T. Endo, Chiba University, Chiba, Japan); EGFP-tagged CA 128 (G<sup>12</sup>V) and DN (T<sup>17</sup>N) Cdc42, DN (S<sup>17</sup>N) Rac, DN (K<sup>44</sup>A) Dynamin 2, DN (T<sup>31</sup>N) Arf1, YFP-129 tagged DN (N<sup>19</sup>L) RhoA, DN (S<sup>34</sup>N) Rab5, DN (T<sup>22</sup>N) Rab7 and EGFP- tagged Clathrin (Dr. S. 130 Ferguson, Univ. Ottawa, On, CA); EGFP-tagged DN (S<sup>17</sup>N) and CA (G<sup>12</sup>V) Rap1b (Dr. P. 131 Stork, Vollum Institute, OR, USA); pYFP tagged DN (S<sup>147</sup>A) CtBP1/BARS (Alberto Luini, 132 Inst. of Protein Biochemistry, NRC, Naples, Italy); EGFP tagged DN (T<sup>17</sup>N) and CA (Q<sup>61</sup>L) 133 134 Arf6 (Dr. J. Donaldson, NIH, MD, USA); constructs encoding the pleckstrin homology (PH) 135 domains of PLCS and Akt fused with EGFP and the Ras binding domain (RBD) cysteine-rich 136 domain (CRD) domain of c-Raf-1fused with EGFP (Dr. T. Balla, NIH, MD, USA); EGFPtagged cRaf-1 CRD (R<sup>89</sup>A) mutant (Dr. Y. Sako, RIKEN ASI, Japan); EGFP tagged DN-Src516 137

(1-516) (Dr. N. Yamaguchi, Chiba Univ., Japan); EGFP-RhoB (S<sup>185</sup>A) (Dr. A. Pradines, 138 139 INSERM, Toulouse, France) and the GFP-RhoA binding domain of Rhoteckin to visualize active 140 RhoA (GFP-rGBD) (Dr. W. Bement, Addgene ID-26740 and 26732). pGex vectors expressing 141 GST fusions encoding binding domains for specific GTPases were obtained from the following 142 investigators: RBD of c-Raf (Raf-RBD) (Dr. D. Shalloway, UC Berkeley, CA, USA); 143 Cdc42/Rac-interacting binding (CRIB) domain of p21-activated kinase-1 (Pak1) (Dr. A. Hall, 144 Memorial Sloan-Kettering Cancer Center, NY, USA); RBD of RalA (RalGDS-RBD, Dr. P. 145 Stork, Vollum Institute, OR, USA); Rho binding domain of RHOteckin-RBD (Dr. A. Richmond, 146 Vanderbilt Univ., TN, USA); N-terminal GAT domain of the Golgi-localized gamma ear-147 containing Arf-binding protein 3 (GGA3) which binds activated Arf6 (Dr. M. Park, McGill 148 Univ., Montreal, CA).

Cell Culture and Transfections. Daoy-TrkA cells were provided by Dr. V. Lee (Univ. of 149 150 Pennsylvania, USA) and maintained in Dulbecco's minimal eagle medium (DMEM) 151 supplemented with 10% fetal bovine serum, 100 µg/ml gentamycin sulfate and 100 µg/ml G418. 152 Daoy cells were grown in the same media without G418. Cells were routinely transfected with 153 4-10 µg of plasmid or 20 nM siRNA's using Lipofectamine 2000 (Life Technologies) or 154 DreamFect Gold (OZ Biosciences) following specifications of the manufacturer.

155 Small Interfering RNAs. Validated or sequence specific siRNAs were generated and purchased 156 from Life Technologies against the following targets: (i) human H-Ras (validated stealth siRNA VHS40291), (ii) human FRS2 (sense CUG GCU AUG ACA GUG AUG AAC GAA G; 157 158 antisense CUU CGU UCA UCA CUG UCA UAG CCA G), (iii) Src (sense AAC AAG AGC 159 AAG CCC AAG GAU; antisense AUC CUU GGG CUU GCU CUU GUU) (29), (iv) human 160 ShcA (silencer select 4390827; sense CUA CUU GGU UCG GUA CAU Ggg; antisense CAU

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161 GUA CCG AAC CAA GUA Gga), (v) human Rac1 (sense UUU GAC AGC ACC GAU CUC 162 UUU CGC C; antisense GGC GAA AGA GAU CGG UGC UGU CAA A), (vi) human Cdc42 163 (sense UCC UUU CUU GCU UGU UGG GAC UCA A; antisense UUG AGU CCC AAC AAG 164 CAA GAA AGG A ) (30), (vii) human RhoB (sense CCG UCU UCG AGA ACU AUC UUU; 165 antisense AGA UAG UUC UCG AAG ACG GUU), (viii) a stealth control (sense GAG UCG 166 ACC UAG UGU AAC ACC GAC A; antisense UGU CGG UGU UAC ACU AGG UCG ACU 167 C). Cy3-labelled negative control siRNA (Life Technologies) was co-transfected with test 168 siRNAs to monitor transfection efficiency.

169 Inhibitor/Dyes. Daoy-TrkA cells were pre-treated with the following inhibitors 1 hour prior to 170 NGF stimulation (100 ng/ml) unless otherwise stated: 40  $\mu$ M of the CK1 inhibitor, D4476 171 (Calbiochem), 5-10  $\mu$ M of the Rac1 inhibitor EHT1864 (Tocris Bioscience), 2  $\mu$ g/ml of the Rho 172 inhibitor CT04 (Cytoskeleton, Inc). The Src inhibitor PP2 (Sigma-Aldrich) was used at the 173 concentrations indicated. Dimethyl sulfoxide (DMSO) was used as a negative control. Alexa Fluor<sup>546</sup>-Dextran, Alex Fluor<sup>488</sup>-Dextran and Alexa Fluor<sup>546</sup>-transferrin (Life Technologies) were 174 175 used at a final concentration of 5  $\mu$ g/ml (dextran) or 50  $\mu$ g/ml (transferrin).

176 GTPase binding Assays. pGex2T, pGex2T-PAK-CRIB, pGex-Raf1-RBD, pGex-RalGDS-RBD, 177 pGex-RHOteckin-RBD and pGex-GGA3-NGAT were grown in 50 ml Luria broth (LB) with 50 μg/ml ampicillin for 16 h at 37° C then added to 500 ml of LB with 50 μg/ml ampicillin and 178 grown to an  $OD_{600}$  of 0.8 to 1.0. Cultures were induced with IPTG (0.2 mg/ml) for 2 h at 37° C, 179 180 centrifuged at 5000 rpm for 10 min (4° C), re-suspended in 10 ml 1X PBS and frozen at -80°C. 181 Pellets were re-suspended in 20 ml of re-suspension buffer (25 mM Tris-Cl pH 7.5, 5 mM EDTA 182 pH 8.0, 150 mM NaCl, 1 mM PMSF, 1 µg/ml leupeptin). Cells expressing the PAK-Crib 183 domain were lysed by two passages through a pre-chilled French press at 20,000 psi. Triton X-

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185	GST fusions were re-suspended as described above and lysed directly by the addition of Triton
186	X-100 to a final concentration of 1%. Samples were centrifuged at 14,000 rpm for 10 min at 4
187	°C. Washed glutathione agarose (500 µl) (Sigma-Aldrich) was added to the supernatant and the
188	mixtures incubated for 16 h at 4°C followed by three washes with 10 ml 1X PBS and re-
189	suspended in 250 µl interaction buffer (20 mM Hepes, 150 mM NaCl, 0.05% NP40, 10%
190	glycerol, 1 mM PMSF, 1 µg/ml leupeptin). To measure changes in GTP activation, Daoy-TrkA
191	cells were left untreated or stimulated with NGF (100 ng/ml) for 10 min, 6 h, 12 h and 24 h.
192	Prior to lysis, cells were placed on ice, washed with ice-cold phosphate buffered saline (PBS),
193	lysed in 500 $\mu$ l interaction buffer (containing 100 $\mu$ M GTP $\gamma$ S, 10 $\mu$ g/ml aprotinin, 2 $\mu$ g/ml
194	leupeptin and 1 mM PMSF) for 2 min and lysates centrifuged at 10,000 rpm for 10 min at 4°C.
195	Protein concentrations of lysates were determined with the DC Protein Assay Kit (BioRad) and
196	the main lysates flash frozen in liquid nitrogen and stored at -80°C until use. Purified GST fusion
197	proteins (approximately 30 $\mu$ g) were added to 500-1000 $\mu$ g of un-stimulated and NGF-stimulated
198	Daoy-TrkA lysates and incubated at 4 °C for 16 h (1 h for RhoA). Samples were pelleted at
199	14,000 rpm at 4°C and washed twice with interaction buffer. Laemmli sample buffer with 100
200	mM DTT was added and samples heated at 70°C for 10 min. Proteins were analyzed by 12%
201	SDS-PAGE and blotted with anti-GST-HRP (1:5000), anti-H-Ras (1:1000), anti-Rac1 (1:1000),
202	anti-Cdc42 (1:5000), anti-Arf6 (1:1000), anti-RhoB (1:500), anti-RhoA (1:1000) and anti-Rap1
203	(1:1000) antibodies. Lysates from each time point (25 $\mu$ l) were also assayed for changes in the
204	expression of each GTP as relative to $\beta$ -actin as a control.

100 was added to a final concentration of 1% and the sample rotated for 30 min at 4°C. All other

205 Immunoprecipitation and Western Blotting. Daoy-TrkA cells were stimulated with NGF 206 (100 ng/ml), washed twice with ice cold PBS containing 1mM sodium orthovanadate and lysed

207 in NP-40 lysis buffer (1% NP-40, 137 mM NaCl, 10% glycerol, 1 mM EDTA, 50 mM Tris-HCl, 208 pH 8.0) containing 1 mM sodium orthovanadate, 10 mM NaF, 10 µg/ml aprotinin, 2 µg/ml 209 leupeptin and 1 mM PMSF. Clarified supernatants were collected by centrifugation and lysates 210 (500 µg) were immunoprecipitated with an antibody to TrkA and Gamma-bind Plus Sepharose 211 (Amersham Pharmacia Biotech). Immune complexes were collected by centrifugation after an 212 overnight incubation at 4°C, washed and re-suspended in SDS-PAGE sample buffer. 213 Immunoprecipitated proteins or whole cell lysates were separated by SDS-PAGE, transferred to 214 0.2 µm PVDF membrane (BioRad), blocked for 1 h in 10% non-fat milk at room temperature, 215 probed for the protein of interest overnight at 4°C and visualized using HRP conjugated 216 secondary antibodies (1:10,000) with the Immun-Star WesternC Chemiluminescence kit 217 (BioRad). To determine which of the 3 Shc and Ras genes are expressed in Daoy-TrkA cells, 25 218 or 50 ug of whole cell lysates from Daoy-TrkA, Hela cells, E18 (brain), P3 (brain) or P20 mouse 219 cortex were separated by either 10 or 12% SDS-PAGE and analysed as described above.

220 Confocal Microscopy. Daoy-TrkA cells were seeded (50,000 cells) and cultured on 35 mm 221 glass bottomed dishes (MatTek Corporation) or poly D-lysine coated cover slips. When 222 indicated, cells were transfected with the appropriate expression plasmid  $(1-2 \mu g)$  mixed with 223 Lipofectamine 2000 (Life Technologies) in 100 µl of serum-free Optimem (Life Technologies) 224 overnight or with a 1.5 ratio of Dreamfect Gold in 100 ul of serum-free Optimem for 4 hrs. 225 Fresh media was provided and cells were either left untreated or treated with NGF as indicated. 226 To monitor latex bead uptake, cells were plated on 35 mm glass bottom dishes and co-treated 227 with 2  $\mu$ l per ml of media with an aqueous suspension of fluorescent red (Excitation 575 nm; Emission 610 nm) labeled Latex beads (0.5 µm in diameter) (Sigma-Aldrich) and Alexa<sup>488</sup>-228 Dextran (5 µg/ml; Life Technologies) or Alexa<sup>546</sup>-transferrin (10 µg/ml; Life Technologies) and 229

Alexa<sup>488</sup>-Dextran (5  $\mu$ g/ml; Life Technologies) prior to stimulation with 100 ng/ml NGF. Cells were visualized and captured with a Zeiss 510 Meta laser scanning confocal microscope using a 63X oil objective (optical section width of 0.7  $\mu$ m).

Trypan Blue Exclusion Assay. NGF-dependent cell death in Daoy-TrkA cells was quantified by trypan blue exclusion, 24 h following NGF stimulation. Cells were trypsinized, diluted 1:4 with 0.4 % trypan blue solution and the number of total cells and blue cells were counted by phase contrast microscopy on a hemacytometer. All experiments were performed in triplicate.

237 Statistical Analysis. All of the experiments were conducted at least three times. One way 238 analysis of variance (ANOVA) with Tukey multiple comparison tests were used to analyse the 239 difference of means among each groups. P value < 0.05 is considered statistically significant.</p>

#### 240 **Results**

#### 241 TrkA generates large (0.5 μm) macropinosomes.

242 To determine whether TrkA induced macropinocytosis is distinct from receptor-mediated endocytosis we employed two reporters, namely, Alexa<sup>546</sup>-transferrin as a probe for receptor-243 mediated endocytosis and Alexa<sup>488</sup>-dextran as a general tracer of fluid uptake via any 244 mechanism. In the absence of NGF, we find significant co-localization of Alexa<sup>488</sup>-dextran and 245 Alexa<sup>546</sup>-transferrin consistent with dextran being co-internalized with transferrin via 246 endocytosis; however, in the presence of NGF, the bulk of Alexa<sup>488</sup>-dextran (green) internalizes 247 248 independent of Alexa<sup>546</sup>-transferrin (red) into large macropinosomes (Figure 1A). We then investigated the initial size of the vacuoles formed using Alexa<sup>546</sup>-labeled latex beads and 249 monitored their co-localization with Alexa<sup>488</sup>-dextran. We found that cells could readily 250 internalize 0.5 µm Alexa<sup>546</sup>-latex beads in response to NGF and that this showed significant co-251

# localization with the Alexa<sup>488</sup>-labeled dextran (Figure 1B). By comparison, cells were not able to internalize 1.0 µm Alexa<sup>488</sup>-latex beads (data not shown).

### 254 Phosphatidylinositol 4-phosphate 5-kinase (PIP<sub>5</sub>K) and phosphatidylinositol 3 (PI3) kinase

#### 255 (PI3 kinase) participate in TrkA-induced macropinosome formation

256 We then initiated a series of experiments to characterize both the composition of the TrkA-257 induced macropinosome membranes as well as the signaling mechanism(s) that regulate their 258 We first determined whether TrkA-induced macropinosome membranes contain growth. 259 components identified in either constitutive or stimulated macropinosomes described in the 260 literature, specifically, phosphatidylinositol (4,5)-bisphosphate (PIP<sub>2</sub>) and phosphatidylinositol 261 (3,4,5)-triphosphate (PIP<sub>3</sub>) (15). We used EGFP tagged constructs encoding the PH domain of AKT, which preferentially binds PIP<sub>3</sub> and to a lesser extent PIP<sub>2</sub>, as well as the PH domain of 262 263 phospholipase D which only binds PIP<sub>2</sub> (31). Cells transfected with EGFP alone show diffuse 264 green staining throughout the cell and the macropinosome ruffles are not clearly identified 265 (Figure 1C); however, we found that both EGFP tagged PH domain constructs were present 266 within the macropinosome ruffles indicating that both PIP<sub>2</sub> and PIP<sub>3</sub> are components of the initial lamellipodia (Figure 1C/D). In fact, some of the initial macropinosomes contained Alexa<sup>546</sup>-267 268 dextran (vellow arrows) used as a fluid tracer. However, as the vacuoles internalized from the 269 cell surface, both PH-AKT and PH-PLD were lost from the membrane, consistent with the fact 270 that both  $PIP_2$  and  $PIP_3$  are lost during endocytosis (15). Consistent with our observation that NGF-dependent Alexa<sup>488</sup>-dextran internalization was independent of receptor mediated 271 272 transferrin uptake (Figure 1A), we found that EGFP-tagged clathrin did not label TrkA-induced 273 macropinosomes (Figure 1E).

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#### 275 TrkA Stimulation causes Activation of H-Ras and Rac

276 To define TrkA-dependent pathways that drive macropinocytosis, we focused on molecules 277 that serve roles in clathrin-independent endocytosis and macropinocytosis-dependent actin 278 remodeling. Collectively, these include PAK1, PI3 kinase, Src (32), as well as several GTPases 279 including H-Ras, Cdc42, Rac1, Rab5, Arf1, Arf6, RhoA and CtBP1/BARS (15);(16);(21);(33);(34);(35). With respect to Trk signaling, TrkA has been shown to activate H-280 281 Ras, Rap-1, Rac, Cdc42 as well as to negatively regulate RhoA, depending on the cellular 282 context  $(36)^{\circ}(37)^{\circ}(38)^{\circ}(49)^{\circ}(41)^{\circ}(42)^{\circ}(43)^{\circ}(44)^{\circ}(45)$ . Thus, we first examined the NGF-283 induced activation kinetics of these GTPases using GST fusion proteins that contain binding 284 domains of effector proteins that only bind the activated GTPases (PAK-1 for Rac and Cdc42, c-285 Raf-1 for H-Ras, Ral GDS for Rap1 and GGA3 for Arf6). Rac1 showed low basal activity in un-286 stimulated cells and was weakly activated, as early as 10 minutes, in response to NGF (Figure 287 2A). By comparison, there also were basal levels of H-Ras activation in un-stimulated cells; 288 however, in response to NGF, we observed a larger increase in H-Ras activation as early as 10 289 minutes, which peaked at 6 h and remained elevated for up to about 12 h. Endogenous levels of 290 H-Ras expression also remained constant during the 24 h period (Figure 2B). When we directly 291 compared activation levels of H-Ras relative to Rac, we found that Ras activation ranges from 3 292 to 7-fold while activation of Rac is less than 1-fold (Figure 2C). By comparison, we found basal 293 levels of Rap1, Cdc42 and Arf-6 activation in un-stimulated cells and while Arf6 has never been 294 shown to be activated by TrkA, it is activated downstream of some RTKs such as the Met (46) 295 and MUSK receptors (47) and it is involved in some forms of clathrin-independent endocytosis 296 (15) (48). However, NGF did not stimulate any obvious change in the activation of Rap1, Cdc42 297 or Arf6 in Daoy-TrkA cells during the 24 h period of stimulation (Suppl. Fig 1).

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304 with the literature, in that it traps activated H-Ras in recycling endosomes (49), and with our observation that DN-Arf6 generated small macropinosomes containing Alexa<sup>546</sup>-dextran (Figure 305 306 3A). The ability of DN-Rab5 to block NGF-induced macropinosomes is consistent with its 307 known roles in mediating the early fusion of endosomes (15) and H-Ras-induced 308 macropinosomes (49). With respect to DN-Rac1, as stated earlier, CA-Ras-dependent activation 309 of macropinocytosis in U251 cells increases the pool of active Rac1 (21)<sup>2</sup>(23), which is known to 310 serve a role in membrane ruffling and the formation of lamellipodia (50)(51)(52). In contrast, 311 we found the levels of NGF-activated Rac1 in Daoy-TrkA cells were relatively low by 12-24 h 312 (Figure 2C), consistent with the fact that DN-Rac could not prevent TrkA-dependent 313 macropinocytosis and that pre-treatment of cells with the Rac specific inhibitor, EHT1864 (5 314  $\mu$ M), did not block NGF-induced macropinosome formation despite its ability to block Rac1 315 activation at 6 h (Figure 3B). 316 In a complimentary approach, we then evaluated whether over-expression of constitutively 317 active (CA) GTPases could drive NGF-independent macropinocytosis in Daoy cells (Figure 4A). 318 We found that over-expression of both CA-Ras and CA-Arf6 caused the generation of large 319 vacuoles, consistent with macropinocytosis, CA-Rac1 generates small vacuoles while CA-

To compliment the GTPase activation assays, we next determined whether dominant

negative (DN) GTPase expression affected NGF-dependent macropinocytosis and found that

DN-H-Ras and DN-Rab5 blocked NGF-induced macropinosomes, DN-Arf-6 reduced the

macropinosome size, while DN-Rap1b, Rab7, Rac1, Arf1, Rab34, Dyn-2, Cdc42 and

CtBP1/Bars had no effect (Figure 3A). While NGF did not affect basal levels of Arf6 activation

in Daoy-TrkA cells, the observation that DN-Arf6 reduced macropinosome size is consistent

320 Cdc42, CA-Rab34 and CA-Rap1b did not generate any. Since NGF did not stimulate any

321	change in Arf-6 activation, the CA-Arf6 vacuoles likely represent trapped vacuoles that cannot
322	recycle back up to the membrane and subsequently fuse (15). Collectively, these observations
323	suggest that H-Ras is the primary GTPase driving TrkA-dependent macropinosome formation.
324	To test this hypothesis further, we addressed whether CA-Ras induced macropinosomes could be
325	blocked by the CK1 specific inhibitor, D4476 (53), which we previously found to block TrkA-
326	induced macropinocytosis (13). As expected, we found that CA-Ras, but not CA-Arf6, induced
327	macropinosomes could be completely blocked by D4476 (Figure 4B). We further examined the
328	phospholipid composition (PIP2, PIP3) of CA-Arf6 and CA-Ras induced macropinosomes,
329	compared to those induced by TrkA, by co-expressing EGFP-CA-Arf6 and CA-Ras with RFP-
330	fused PH domains of AKT and PLD. We found that CA-Arf6 vacuoles contain both $PIP_2/PIP_3$
331	(Figure 4C) consistent with previous reports showing that unless Arf6 is inactivated shortly after
332	the initial stage of membrane internalization, and PIP <sub>2</sub> is lost, that the vacuoles are trapped and
333	become progressively larger as they fuse (54). In contrast, we found that while the initial CA-
334	Ras-induced ruffles contained PIP <sub>3</sub> , based on the co-staining with EGFP-PH-AKT (Figure 4D,
335	yellow arrows), the internalized macropinosomes did not (Figure 4D, white arrows). By
336	comparison, while all of the CA-Arf6 induced macropinosomes still contained PIP <sub>2</sub> , only a few
337	of the CA-Ras induced macropinosome contained PIP <sub>2</sub> (Figure 4D, white arrows). These
338	observations are consistent with the lack of both AKT and PLD being localized to the large
339	internal TrkA-induced macropinosomes (Figure 1C,D) and further support the model that H-Ras
340	is the primary GTPase driving this process. Consistent with this logic, the EGFP-tagged Ras
341	binding domain (RBD) and cysteine-rich domain (CRD) of the effector protein c-Raf-1 labeled
342	both the initial membrane ruffles as well as the enlarged vacuolar membranes (Figure 4E, yellow

arrows). By comparison, an EGFP-tagged c-Raf-1 RBD-CRD mutant (R<sup>89</sup>A), which can no
longer bind activate H-Ras, was diffusely distributed in the cell (Figure 4F) (55).

#### 345 Knockdown of H-Ras prevents TrkA-induced Macropinocytosis

346 To control against potential off-target effects generated by over-expressing DN constructs, 347 we utilized siRNAs to deplete specific GTPases and assayed changes in NGF-induced 348 macropinocytosis. Transfection of siRNAs for both Cdc42 and Rac1 effectively decreased their 349 expression by 24 h relative to control siRNAs (Figure 5A). However, when Daoy-TrkA cells 350 were co-transfected with both siRNAs, along with a Cy3-labelled control siRNA to identify 351 transfected cells, there was no apparent decrease in the size of the NGF-induced Alexa<sup>488</sup>-dextran 352 containing vacuoles relative to cells transfected with a control siRNA (Figure 5B). For Ras, we 353 first determined which of the 3 Ras genes (Harvey [H], Kirsten [K] and/or Neuroblastoma [N]) 354 are expressed in Daoy-TrkA cells and found high levels of H-Ras and K-Ras compared to low 355 levels of N-Ras (Figure 5C). Given our over-expression studies with CA and DN-H-Ras, we 356 first determined how changes in H-Ras expression, alone, would affect NGF-induced 357 macropinosomes. Using an siRNA specific to H-Ras, we found that it effectively reduced 358 endogenous expression of H-Ras (Figure 5D) as well as the size of NGF-induced vacuoles in 359 Cy3 (red) positive co-transfected cells (Figure 5E) relative to cells transfected with siRNA 360 controls.

#### 361 The FRS2 Adapter, not ShcA, is Essential to TrkA-induced Macropinosome Formation

362 NGF activation of TrkA results in receptor dimerization, phosphorylation of the activation 363 loop tyrosines,  $Tyr^{683}/Tyr^{684}$ , and subsequent phosphorylation of  $Tyr^{490/499}$  in the juxtamembrane 364 region. This enables competitive binding between the Shc and FRS2 adapters to  $pTyr^{490}$  (27) 365 and since both Shc and FRS2 are able to activate H-Ras (Figure 6A), we determined which

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366 adapter is essential to this process. The Shc family of adapters includes 4 members (ShcA, B, C 367 and D) (56). ShcA is highly expressed during embryogenesis and only expressed in progenitor 368 cells in the mature brain while ShcB/C are primarily expressed after birth and ShcD is primarily 369 expressed outside the nervous system. We first determined which Shc adapters were expressed 370 in Daoy-TrkA cells, compared to lysates prepared from E18, P3 and P20 mouse cortices. We 371 found that Daoy-TrkA cells only express ShcA (Figure 6B). Then we assayed FRS2/ShcA 372 siRNAs for loss of expression in transfected Daoy-TrkA cells and found that both siRNAs 373 effectively reduced expression of their target proteins, relative to a scrambled siRNA control 374 (Figure 6B). Daoy-TrkA cells were then co-transfected with ShcA/FRS2 siRNAs, along with a Cy3-labelled siRNA control, and Cy3 positive cells were examined for changes in Alexa<sup>488</sup>-375 376 dextran uptake relative to cells transfected with control siRNA (LC3). Accordingly, we found 377 that loss of FRS2, but not ShcA, effectively reduced the size of NGF-induced macropinosomes 378 comparable to those observed in un-stimulated cells (Figure 6C).

#### 379 Src Kinase is Essential to NGF-induced Macropinosome Formation

380 The soluble tyrosine kinase, Src, is another candidate signaling molecule that has been shown 381 to be involved in membrane ruffling and macropinocytosis in different cellular contexts 382 (32)<sup>(57)</sup>(58). Although the molecular mechanisms involved in Src mediated macropinocytosis 383 have not been fully elaborated, many of the pathways involve several downstream effectors such 384 as PI3 kinase, phospholipase C as well as phospholipase D (15). Importantly, Src is known to be 385 involved in TrkA-dependent signaling. Specifically, Src activation precedes the activation of H-386 Ras and it is recruited into TrkA signaling via FRS2 (Figure 7A) (26) (27) (59). In our initial 387 studies to investigate whether Src was involved in NGF-induced macropinocytosis, we 388 determined whether the Src inhibitor, PP2, affected the process. Interestingly, we found that 7.5

17

389	$\mu$ M PP2 effectively reduced macropinocytosis to levels observed with vehicle alone (Figure 7B)
390	and that this concentration did not affect TrkA kinase activity (Figure 7C). While higher
391	concentrations of PP2 also blocked cell death, they impeded NGF-induced phosphorylation of
392	the juxtamembrane pTyr <sup>490</sup> residue (Figure 7C). Activation of Src involves the de-
393	phosphorylation of the self-inhibitory carboxyl-terminal tyrosine residue, $Y^{527}$ , and
394	phosphorylation of $Y^{416}$ which resides in the activation loop $(60)^{i}(61)^{i}(62)$ . When we examined
395	the kinetics of Src phosphorylation in response to NGF in Daoy-TrkA cells, we observed a
396	significant increase in the amount of phosphorylation at $Y^{416}$ by 6 h, which subsequently decayed
397	over time (Figure 7D), with little change in the phosphorylation state of $Y^{527}$ (data not shown).
398	Co-treatment with the CK1 inhibitor, D4476, did not affect the kinetics of Src activation (Figure
399	7D; right panel) indicating that CK1 activation is either independent or downstream of Src. We
400	then used siRNAs to address the role of Src expression/activation on NGF-induced
401	macropinocytosis. A Src siRNA effectively reduced Src expression by 24 h and this remained
402	reduced up to 72 h post transfection (Figure 7E). Moreover, we found that cells co-transfected
403	with Src siRNA plus a Cy3-labelled control siRNA showed a large reduction in the
404	internalization of Alexa <sup>488</sup> -dextran compared to cells transfected with control siRNAs and, in
405	fact, internalized Alexa <sup>488</sup> -dextran to levels similar to un-stimulated cells (Figure 7F). Finally,
406	we expressed EGFP tagged wild type Src, as well as a DN-Src mutant (1-516) (32), in Daoy-
407	TrkA cells and determined how their expression affected NGF-induced macropinocytosis. The
408	results demonstrate that over-expression of wt-Src does localize to large macropinosomes in
409	NGF-stimulated cells (Figure 7G). In contrast, over-expression of DN-Src-GFP effectively
410	blocks NGF-induced macropinosome formation (Figure 7G).
411	

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#### 412 Casein Kinase 1 phosphorylation and Inactivation of RhoB facilitates Macropinocytosis.

413 We next addressed the role of RhoB, another member of the Rho family of small GTPases 414 which also includes RhoA and RhoC in macropinocytosis (63). RhoB is involved in a variety of 415 cellular functions including the organization and maintenance of actin stress fibers (64). Thus, 416 we first analyzed cells for NGF-dependent changes in the activation of RhoB using a GST fusion 417 construct encoding the Rho binding domain of the effector protein Rhotekin. In contrast to all 418 the other GTPases tested (Figure 2, Supplementary Figure 1), we found a steady decrease in both 419 the activation and expression levels of RhoB in response to NGF such that by 12 h, the amount 420 of active RhoB was approximately half that observed at 6 h (Figure 8A). In fact, no active RhoB 421 was observed by 24 h, though its levels of expression remained constant between 12-24 h (Figure 422 8A). We then addressed whether inactivation of RhoB was essential to macropinosome formation and found that expression of CA-RhoB (Q<sup>63</sup>L,) and the maintenance of actin stress 423 424 fibers, effectively blocked NGF-induced macropinocytosis (Figure 8B).

425 Previously, we showed that the CK1 inhibitor D4476 completely blocked NGF-induced 426 macropinocytosis in Daoy-TrkA cells, although the mechanism was not initially clear (13). 427 However, Tillement, et al. demonstrated that RhoB, but neither RhoA nor RhoC, is a direct target of CK18 kinase activity in vitro (28). Specifically, they demonstrated that RhoB is 428 phosphorylated at Ser<sup>185</sup> and this results in the inactivation of RhoB (28). Thus, we considered 429 430 the possibility that the failure to inactivate RhoB, and the maintenance of actin stress fibers, 431 underscores the inhibitory action of D4476. To address this, we examined how expression of a RhoB S<sup>185</sup>A mutant affects NGF-induced macropinocytosis. As this mutant is incapable of being 432 phosphorylated at Ser<sup>185</sup>, it should exert a similar effect as CA-RhoB (Q<sup>63</sup>L) and block NGF-433 434 induced macropinosome formation. Consistent with this logic, we found that expression of the Accepted Manuscript Posted Online

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RhoB (S<sup>185</sup>A) mutant did, in fact, completely block NGF-induced macropinocytosis (Figure 8B). 435 436 To compliment these studies, we reduced RhoB expression, by RNA siRNA transfection, and 437 observed enhanced macropinocytosis in response to NGF indicating that loss of RhoB dependent 438 stress fibres are essential (Figure 8C).

#### 439 **RhoA Activation is Essential to NGF-induced Macropinosome Formation**

440 We have demonstrated that the primary GTPase driving NGF-induced macropinocytosis 441 in Daoy-TrkA cells is H-Ras (Figures 2-5). However, activation of H-Ras, itself, does not 442 directly affect F-actin re-organization. Thus, we considered whether activation of RhoA, which 443 is known to directly affect F-actin re-organization, was activated by NGF in Daoy-TrkA cells, 444 whether it was localized to the macropinosome membranes and whether it was essential to drive 445 macropinocytosis. In this respect, RhoA activation has been shown to be associated with both 446 neurite/dendritic extension and retraction in the nervous system (65)(66)(67)(68)(40)(69). We 447 examined the kinetics of NGF-induced activation of RhoA in Daoy-TrkA cells, using a GST 448 fusion protein containing the Rho binding domain of Rhotekin, and observed RhoA activation as 449 early as 10 min in response to NGF, peak activation at 6 h and while the abundance of RhoA 450 decreased by 12-24 h, the remaining molecules were still active (Figure 9A). Next, we used a 451 GFP-tagged reporter construct to visualize active RhoA (GFP-rGBD) and found that RhoA is 452 diffusely distributed within the cytoplasm of un-stimulated cells; however, following NGF 453 stimulation, RhoA localized to the lamellpodia that are initiating macropinocytosis, suggesting 454 that it is the final GTPase stimulating F-actin re-organization (Figure 9B). To confirm that RhoA 455 activation is essential to NGF-induced macropinocytosis, we transfected Daoy-TrkA cells with YFP-tagged DN-RhoA (T<sup>19</sup>N) and observed that it effectively blocked NGF-induced uptake of 456 457 Alexa<sup>546-</sup>dextran (Figure 9C). Moreover, NGF-induced macropinosomes were effectively

458 blocked by the Rho inhibitor, CT04 (Fig 9D). Collectively, these data confirmed that RhoA is 459 the final GTPase regulating actin re-organization and macropinosome formation in response to 460 NGF stimulation of Daoy-TrkA cells.

#### Discussion 461

462 We previously demonstrated that TrkA expressing Daoy cells undergo NGF-dependent 463 macropinocytosis resulting in cell death (13). Here, we have characterized the essential 464 signaling cascade that drives this process. Specifically, it involves the recruitment of the FRS2 465 adaptor to activated TrkA and the subsequent activation of Src, H-Ras, RhoA and the CK1-466 dependent inactivation of RhoB (Figure 10). We found that macropinosomes are generated 467 independently of receptor-mediated internalization, do not contain clathrin, and can be as large 468 as 0.5 µm in size (Figure 1). NGF-dependent cell death in Daoy-TrkA cells was strikingly 469 similar to that observed in the Gb cell line U251 following expression of activated H-Ras 470 (20) (21) (23). Similar to U251 cells, macropinocytosis in Daoy-TrkA cells is also dependent on 471 the activation of H-Ras. Specifically, expression of DN-H-Ras (Figure 3) and/or H-Ras siRNAs 472 (Figure 5D/E) completely blocked NGF-induced macropinocytosis. Converselv. 473 macropinocytosis was induced in the absence of NGF by expression of CA-H-Ras (Figure 4A) 474 and CA-H-Ras induced macropinosomes could be blocked with the CK1 inhibitor, D4476 475 (Figure 4B), that blocks NGF-induced macropinosomes (13). Finally, a construct encoding the 476 Ras binding domain of c-Raf-1 (RBD-CRD) clearly demonstrated that active H-Ras was 477 localized to the macropinosome membranes (Figure 4E) as compared to the diffuse staining throughout the cytosol observed with the EGFP-tagged c-Raf-1 RBD-CRD mutant (R<sup>89</sup>A) that 478 479 cannot bind activated H-Ras (Figure 4F) (55). Collectively, these data all support the conclusion 480 that H-Ras is the primary TrkA-coupled GTPase driving macropinocytosis. While H-Ras could

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481 be activated, via either Shc or FRS2, which compete for receptor binding following phosphorylation of the juxtamembrane tyrosine residue Y<sup>490</sup> on TrkA (27) (Figure 10), our 482 483 studies demonstrate that FRS2 is the TrkA-dependent adapter essential to the process. Specifically, loss of FRS2, but not ShcA, expression prevents NGF-induced macropinocytosis 484 485 (Figure 6). While activation of H-Ras is commonly associated with tumor cell growth and many 486 carcinomas arise as a result of mutations in H-Ras, it is important to note that activation of H-Ras 487 has never been reported as a cancer causing oncogene in human brain tumors (24) (25). H-Ras 488 has been shown to have a diversity of roles in different cell types including inducing 489 macropinocytosis to facilitate nutrient uptake in pancreatic tumors (14) in addition to its well 490 known roles in regulating cell survival (70), senescence (71) and cell death (72). As described 491 earlier, H-Ras has been shown to stimulate macropinocytosis in U251 cells, via activation of 492 Rac1 (23), and expression of CA-Rac1 can itself stimulate macropinocytosis and cell death (21). 493 This is in contrast to our observations where expression of CA-Rac1 had no effect on 494 macropinosome formation and the expression of DN-Rac1 and/or depletion of endogenous Rac1 495 by siRNA did not affect NGF-induced macropinocytosis. Moreover, while NGF induced a rapid 496 increase in activated Rac1 that was sustained up to approx. 12 h, the increase in activation was 497 less than 1-fold as compared to the 3 to 7-fold increase in H-Ras activation during the same time 498 period (Figure 2C). While Rac1 is rapidly activated in response to NGF in PC12 cells, and it is 499 necessary for Rho inactivation and the release of stress fibers to facilitate neurite outgrowth (44), 500 we found that Rac1 activation was not essential for NGF-dependent macropinocytosis in Daoy-501 TrkA cells. In fact, the Rac-specific inhibitor, EHT 1864, had no effect on NGF-induced 502 macropinocytosis, despite effectively blocking Rac activity (Figure 3B).

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503 Another small GTPase implicated in macropinocytosis and modulating actin dynamics and 504 endosomal recycling required for some types of clathrin-dependent and clathrin-independent 505 endocytosis is Arf6 (73) (15) (48). Arf6 resides at the plasma membrane and when activated 506 stimulates the accumulation of PIP<sub>2</sub> at the membrane through activation of phosphatidylinositol 507 4-phosphate 5 kinase (PIP<sub>5</sub>K) and phospholipase D (PLD) (54)(74)(75) resulting in changes in 508 the actin cytoskeleton (76). Following internalization of the endosome or macropinosome, Arf6 509 is inactivated and PIP<sub>2</sub> is lost from the endosomal membrane (54) to be replaced by PIP<sub>3</sub> (49) by 510 PI3 kinase (77). Under normal conditions where the macropinosome matures and is then 511 recycled back up to the cell membrane, activation of Arf6 is again required for this final step and 512 membrane fusion (54)<sup>(78)</sup>. In U251 cells, the resting activity of Arf6 is high but drops 513 significantly upon stimulation of macropinocytosis (23). In contrast to these results, we 514 observed no change in the activation levels of Arf6 in NGF-stimulated Daoy-TrkA cells.

As has been observed in other cells  $(54)^{i}(49)$ , expression of CA-Arf6 induced massive vacuolization similar to that observed with CA-H-Ras in Daoy-TrkA cells. However, the phosphatidylinositide composition of the CA-Arf6 induced macropinosomes are different from those induced by both NGF (Figure 1) and CA-H-Ras (Figure 4) in that CA-Arf6 induced macropinosomes result from the continual fusion of primary vacuoles due to the continued activation of PIP<sub>5</sub>K and PLD  $(54)^{i}(15)$  and, as such, are enriched in PIP<sub>2</sub> and PIP<sub>3</sub>  $(15)^{i}(48)^{i}(54)$ .

Many of the proteins involved in macropinocytosis are downstream effectors of the nonreceptor tyrosine kinase Src. In fact, expression of CA-Src can induce the formation of membrane ruffles and macropinosomes in various cell types including COS-7, HeLa, MDCK and mouse embryonic fibroblasts (MEF cells) (58)<sup>;</sup>(32)<sup>;</sup>(79) and also induces rapid loss of actin stress fibers in MEF's (80). In addition, active Src associates with macropinosomes, and remains

526 associated through maturation up to fusion with lysosomes (32). We found that inhibition of Src 527 by PP2 (7.5 µm) resulted in a reduction of macropinocytotic cell death in NGF-treated Daoy-528 TrkA cells, comparable to control levels, without affecting TrkA phosphorylation (Figure 7B/C). 529 Similarly, siRNA mediated knock down of Src led to a reduction in vacuole formation in the 530 presence of NGF, comparable to that of controls (Figure 7E/F). In fact, over-expressing WT-Src 531 led to larger NGF-induced macropinosomes in Daoy-TrkA cells and Src was clearly localized on 532 the internalized vacuoles, while expression of a DN mutant effectively blocked NGF-dependent 533 macropinosomes (Figure 7G). Src activation precedes the activation of H-Ras, is necessary for 534 the sustained activation of Erk1/2 essential to neurite outgrowth in PC12 cells (81) (82) (83), and 535 it is recruited into TrkA signaling via FRS2 (27) (Figure 10).

536 The final steps in driving macropinocytosis requires membrane ruffling and lamellipodia 537 formation that are dependent upon the relaxation of actin stress fibers, which enables actin to be 538 remodeled (15) (16). Members of the Rho family of GTPases, in particular Rho A, B and C, 539 have been shown to play important roles in the organization and maintenance of actin stress 540 fibers (84). Our data indicates that relaxation of actin stress fibers, by CK1-dependent phosphorylation and inactivation of RhoB, at residue Ser<sup>185</sup>, is an essential initial requirement in 541 542 the induction of macropinocytosis. How TrkA regulates the constitutive kinase activity of CK1 543 (85) and drives the phosphorylation of RhoB, downstream of H-Ras, remains to be clarified. In 544 contrast, we found that activation of the related Rho family GTPase, RhoA, is essential to 545 stimulate the actin re-organization and lamellipodial formation required to generate 546 macropinosomes (Figure 10). While RhoA has classically been thought to only regulate stress 547 fiber formation, using FRET based reporters, Kurokawa and Matsuda demonstrated that RhoA is 548 also highly activated in membrane ruffles and nascent lamellipodia in multiple cell lines in

549 response to different stimuli (86). Using a GST fusion protein containing the Rho binding 550 domain of Rhotekin, we found RhoA highly activated in response to NGF, with peak activation 551 at 6 h, and lower levels of activation by 12-24 h (Figure 9A). By using a reporter construct 552 encoding the Rho binding domain of Rhoteckin to visualize active RhoA (GFP-rGBD), we found 553 that active RhoA was diffusely distributed within the cytosol of un-stimulated Daoy-TrkA cells 554 but in response to NGF, RhoA localized to the membrane ruffles and lamellipodia (Figure 9B, yellow arrows). Moreover, we found that expressing YFP-tagged DN-RhoA (T<sup>19</sup>N) (Figure 9C) 555 556 as well treating Daoy-TrkA cells with the Rho inhibitor, CT04 (Figure 9D), effectively blocked 557 NGF-induced macropinocytosis.

558 In summary, we have shown that macropinocytosis in Daoy-TrkA cells can be stimulated in the absence of NGF by expression of a CA mutant of H-Ras (G<sup>12</sup>V). In addition, NGF-induced 559 macropinocytosis is prevented in cells expressing a DN-H-Ras mutant (T<sup>17</sup>N). In contrast to 560 561 glioblastoma U251 cells, we see no induction of macropinocytosis with a CA-Rac1 mutant (O<sup>61</sup>L) and conversely no protection against NGF induced macropinocytosis in the presence of 562 DN-Rac1 ( $T^{17}$ N). Other small GTPases such as Cdc42, while activated by the addition of NGF. 563 564 do not appear to be essential in the initiation of macropinocytosis in Daoy-TrkA cells. Finally, inactivation of RhoB by phosphorylation at Ser<sup>185</sup> by CK1 is fundamentally important in the 565 566 induction of NGF-induced macropinocytosis. Inactivation of RhoB relaxes actin stress fibers 567 and allows for actin remodeling, the formation of membrane ruffles and lamellipodia. 568 Conversely, activation of RhoA is the final and essential GTPase that re-organizes actin and 569 generates the macropinosomes.

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570 Considering the potential impact of these data, Vander Heiden et al. have reviewed the 571 literature and discussed the fact that exploiting the Warburg effect, the reliance of most cancer

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572	cells on aerobic glycolysis and their need for large quantities of external nutrients to support
573	biomass production, has been proposed as a general strategy to selectively kill cancer cells (87).
574	Recently, for example, Yun et al. have demonstrated that colorectal cancer cells expressing K-
575	RAS and B-RAF oncogenic mutants are selectively sensitive to high concentrations of vitamin C
576	due to the over-expression of a glucose transporter GLUT1 in these cells, which takes up de-
577	hydroascorbic acid, ultimately causing accumulation of reactive oxygen species and cell death
578	(88). Thus, the otherwise advantageous ability to uptake more glucose actually makes these cells
579	more susceptible to cell death. Macropinocytosis is a normal cellular process by which cells
580	internalize extracellular fluids and nutrients from their environment and is one strategy that Ras-
581	transformed cancer cells use to increase uptake of amino acids to meet the needs of rapid growth
582	(14); but, we have found that non-Ras transformed medulloblastomas become susceptible to a
583	TrkA-driven Ras-dependent uncontrolled macropinocytosis and tumor cell death (13). The links
584	our studies provide here between TrkA and the regulation of GTPases and the resulting effects
585	on actin cytoskeletal dynamics now provides the basis to test therapeutic strategies that target
586	these pathways.

587

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592

593 Conflicts of Interest. The authors declare that they have no conflict of interest.

594

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Figure 1. NGF-induced macropinosomes co-internalize dextran with 0.5  $\mu$ m latex beads and contain both PIP2 and PIP3. Cells were co-treated with Alexa<sup>546</sup>-transferrin and Alexa<sup>488</sup>-Dextran (A), Alexa<sup>488</sup>-dextran and 0.5  $\mu$ m Alexa<sup>546</sup>-latex beads (10 ng) (B), or transfected with (C) EGFP alone, (D) EGFP-tagged constructs encoding the PH domain of AKT (PIP<sub>3</sub> tracer), the PH domain of PLD (PIP<sub>2</sub> tracer) or (E) EGFP-Clathrin. Alexa<sup>546</sup>-dextran was given as a fluid tracer and cells were stimulated with 100ng/ml NGF (24h) and visualized by confocal microscopy. Scale bar = 10  $\mu$ m.

888 Figure 2. NGF-stimulated Daoy-TrkA cells activate both Rac and H-Ras. Daoy-TrkA cells 889 were stimulated with 100 ng/ml NGF and lysates harvested at 10 min, 6 h, 12 h and 24 h. (A, B) 890 Lysates were assayed for activation of H-Ras and Rac using GST fusion proteins that will only 891 bind active Rac1 (Pak-1-CRIB) (A) and Ras (RBD) (B). Pulldowns (500 µg) and whole cell lysates (WCL) (25 µg) were analyzed on 12% SDS gels and westerns probed with the indicated 892 893 antibodies. WCLs were assayed for changes in the expression of each GTPase, relative to  $\beta$ -894 actin, at each time point. Changes in the activation of each GTPase were determined relative to 895 levels of expression normalized to  $\beta$ -actin. (C) Direct comparison between the activation of Rac 896 (less than 1-fold) relative to H-Ras (3 to 7-fold) during the same time period. Asterisks (\*) 897 indicates a statistically significant P-value < 0.05 increase relative to un-stimulated cells as 898 performed using one-way ANOVA and Post-Tukey test.

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Figure 3. Expression of DN-H-Ras, and Rab5 blocks and Arf6 reduces the size of NGFinduced Macropinosomes. (A) EGFP-tagged DN GTPases (H-Ras, Arf6, Rab5, Rap1b, Rab7,
Rac1, Arf1, Rab34, Dyn2, Cdc42, CtBP1/BARS) were transfected into Daoy-TrkA cells and
assayed for changes in both NGF-induced macropinosomes and the uptake of Alexa<sup>546</sup>-dextran

903 relative to cells transfected with EGFP at 24 h. Scale bar = 10  $\mu$ m. (B) The Rac specific 904 inhibitor, EHT1864 (10  $\mu$ M), was assayed for changes in both NGF-induced vacuole formation 905 at 24 h (phase contrast microscopy) as well as changes in the activation of Rac at 6 h in a 906 pulldown assay. Asterisk (\*) indicates a statistically significant *P*-value < 0.05 increase in Rac 907 activation at 6h, relative to un-stimulated cells, as well as a significant decrease in activity in the 908 presence of EHT1864, as performed using one-way ANOVA and Post-Tukey test.

909 Figure 4. CA-Ras Mimics NGF-induced Macropinocytosis in Daoy-TrkA Cells. (A) EGFP-910 tagged CA-Ras and CA-Arf6, but not CA-Rac1, Rab34, Cdc42 or Rap1b, induces NGFindependent macropinocytosis and Alexa<sup>546</sup>-dextran uptake comparable to cells transfected with 911 912 EGFP and NGF stimulated (24 h). (B) CA-Ras-EGFP, but not CA-Arf6-EGFP, induced 913 macropinosomes are blocked by the CK1 inhibitor D4476. (C) CA-Arf6-EGFP induced 914 macropinosomes contain both PIP<sub>3</sub> (PH-AKT-mCherry) and PIP<sub>2</sub> (PH-PLD-mCherry). (D) The 915 majority of CA-Ras-EGFP induced macropinosomes do not contain PIP<sub>3</sub> (PH-AKT-mCherry) 916 and/or PIP<sub>2</sub> (PH-PLD-mCherry) (white arrows). (E) EGFP tagged RBD of c-Raf-1 localizes 917 active Ras in the initial lamellipodia, the macropinosmes as well as large, fused vacuoles in the presence of NGF. (F) The EGFP-tagged cRaf-1 CRD (R<sup>89</sup>A) mutant, which cannot bind active 918 919 Ras, is diffusely found throughout the cytosol in the presence of NGF. Scale bar =  $10 \,\mu m$ .

Figure 5. siRNA mediated Knockdown of H-Ras but Neither Cdc42 nor Rac1 Blocks NGFinduced Macropinosomes. (A) Daoy-TrkA cells were transfected with siRNAs against Cdc42,
Rac1 and a stealth control and changes in protein expression assayed by Western blot at 48 h. (B)
Daoy-TrkA cells were co-transfected with a Cy3 (red) control siRNA and either a non-specific
siRNA (LC3) or siRNAs against both Cdc42 and Rac1. Cells were either left un-stimulated or
treated with NGF and changes in Alexa<sup>488</sup>-Dextran uptake examined in Cy3 positive cells at 24

926 h. (C) Lysates from Daoy-TrkA cells were examined to determine if they express 1 or all 3 Ras 927 isoforms relative to Hela cell lysates as a control. (D) siRNA to H-Ras effectively reduce H-Ras 928 expression. (E) Daoy-TrkA cells were co-transfected with a Cy3 (red) control siRNA and either 929 a non-specific siRNA (LC3) or the H-Ras siRNA. Cells were either left un-stimulated or treated with NGF and changes in Alexa<sup>488</sup>-Dextran uptake examined in Cy3 positive cells at 24 h. Scale 930 931 bar =  $10 \,\mu m$ .

932 Figure 6. FRS2, not ShcA, Binding to the Phosphorylated Juxtamembrane Tyrosine Residue, Tyr<sup>490</sup>, is Essential to H-Ras Activation by TrkA. (A) Schematic showing that FRS2 933 and Shc bind competitively to pTyr<sup>490</sup> following TrkA activation. (B) Daoy-TrkA cells express 934 935 ShcA, but not ShcB or ShcC. siRNAs for both human ShcA and FRS2 effectively reduce 936 expression of their respective targets in transfected Daoy-TrkA cells relative to the siRNA 937 control. (C) Daoy-TrkA cells were co-transfected with a Cy3 control siRNA and either a non-938 specific siRNA (LC3) or the siRNAs against ShcA or FRS2. Cells were either left un-stimulated or treated with NGF and changes in Alexa<sup>488</sup>-Dextran uptake examined in Cy3 positive cells at 939 940 24 h. Scale bar =  $10 \,\mu m$ .

941 Figure 7. Src Activation, via FRS2, is Essential to NGF-dependent Macropinocytosis and 942 **Cell Death.** (A) Schematic showing that Src is recruited into TrkA signaling *via* FRS2 and this 943 facilitates activation of H-Ras. (B) Daoy-TrkA cells were treated with PP2 or DMSO (1 h) prior 944 to addition of NGF and incubated for an additional 12 h. Cells were scored for cell death using 945 the trypan blue exclusion assay (N=3). (C) Daoy-TrkA cells were treated as described in **B**, lysed and analyzed by Western blot for TrkA phosphorylation, at p  $Y^{490}$ , relative to  $\beta$ -actin. (D) 946 947 Daov-TrkA cells were either left untreated or pre-treated with D4476 (1 h) prior to NGF stimulation. Lysates were analyzed for changes in the phosphorylation status of Src (Y<sup>416</sup>) 948

949 relative to total levels of Src and  $\beta$ -actin. (E) Daoy-TrkA cells were transfected with a Src 950 siRNA or a Src scramble control for 24 h (left panel) or up to 72 h (right panel) and changes in 951 Src expression determined by Western blot relative to  $\beta$ -actin. (F) Daoy-TrkA cells were co-952 transfected with a Cy3 control siRNA and either a scramble siRNA or the Src siRNA. Cells 953 were either left un-stimulated or treated with NGF and changes in Alexa<sup>488</sup>-Dextran uptake were 954 examined in Cy3 positive cells at 24 h. (G) Daoy-TrkA cells were transfected with EGFP-tagged WT or DN-Src and left untreated or stimulated with NGF and Alexa<sup>546</sup>-Dextran for 24 h prior to 955 956 examining changes in macropinocytosis. Scale bar =  $10 \,\mu m$ .

957 Figure 8. RhoB maintains Actin-Stress Fibers and must be Inactivated via CK1-dependent Phosphorylation at Ser<sup>185</sup> to enable NGF-induced Macropinocytosis. (A) Daov-TrkA cells 958 959 were stimulated with NGF, lysates harvested at 10 min, 6 h, 12 h and 24 h and assayed for 960 activation of RhoB using GST-Rhoteckin vs GST alone. Pulldowns and WCLs were analyzed 961 on 12% SDS gels and blots probed with the indicated antibodies. WCLs were also assayed for 962 changes in expression of RhoB, relative to  $\beta$ -actin, at each time point. (B) Daoy-TrkA cells were transfected with EGFP, EGFP-tagged CA-RhoB (O<sup>63</sup>L) and the EGFP-tagged site directed RhoB 963 mutant (S<sup>185</sup>A). Cells were stimulated with NGF and Alexa<sup>546</sup>-Dextran added for 24 h prior to 964 965 examining changes in macropinocytosis. (C) Daoy-TrkA cells were transfected with control or 966 RhoB siRNA and changes in RhoB expression examined by Western blot at 24h. (D) Cells were co-transfected with Cy3 control siRNA and either a control siRNA or the RhoB siRNA. Cells 967 were treated with NGF and Alexa<sup>488</sup>-Dextran uptake examined in Cy3 positive cells at 24 h. 968 969 Scale bar =  $10 \,\mu m$ .

## 970 Figure 9. RhoA Activation Drives Lamellopodia Formation and is Essential to NGF-

971 Induced Macropinocytosis in Daoy-TrkA Cells. (A) Daoy-TrkA cells were stimulated with

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972 NGF, lysates harvested at 10 min, 6 h, 12 h and 24 h and assayed for activation of RhoA using 973 GST-Rhoteckin vs GST alone. Pulldowns and WCLs were analysed on 12% SDS gels and blots 974 probed with the indicated antibodies. WCLs were also assayed for changes in expression of 975 RhoA, relative to  $\beta$ -actin, at each timepoint. (B) Daoy-TrkA cells were transfected with an 976 EGFP-tagged reporter construct encoding the RhoA binding domain of Rhoteckin to visualize 977 active RhoA (GFP-rGBD). Cells were examined by confocal microscopy in the absence or 978 presence of NGF stimulation at 24 h. (C) Daoy-TrkA cells were transfected with YFP-tagged DN RhoA (T<sup>19</sup>N), treated with Alexa<sup>546</sup>-dextran, stimulated with NGF and visualized by 979 980 confocal microscopy at 24 h. (D) Daoy-TrkA cells were treated with the Rho inhibitor CT04 (2

> 981 µg/ml) for 1 h prior to stimulating cells with NGF for 4 h. Cells were visualized for 982 macropinosomes by phase contrast microscopy. Scale bar =  $10 \,\mu m$ .

983 Figure 10. Schematic of TrkA-dependent signaling pathways that drive macropinocytosis.

984





**(B)** 

## 100 ng/ml NGF, 24 h



Figure 1.





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Figure 2.

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CA-Rac1 (Q<sup>61</sup>L) CA-Rab34 (Q<sup>111</sup>L) CA-Cdc42 (G<sup>12</sup>V) CA-Rap1b (G<sup>12</sup>V)



**40 μM D4476** 



Figure 4.

**(B)** 









**(E)** 

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Figure 5







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Figure 6.





Effect of PP2 on NGF-induced Cell Death in TrkA-Daoy Cells





**(E)** 

(F)

NGF:

+ Cy3-siRNA siRNA Src

Alexa546-Dextran

scramble siRNA + Cy3-siRNA

Alexa<sup>488</sup>-Dextran

(G)





siRNA Src

36<sup>1</sup>

48 N

12

Src

β**-actin** 









Figure 8.

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СТ04

(D)

NGF

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NGF + CT04



F-Actin Re-organization, Lamellopodia Formation and Macropinosomes NGF

ᡟ

TrkA

**′490** 

CK1

RhoB

**Release Actin** 

**Stress Fibers** 

FRS'

Figure 10.